

FORM PTO-1300 (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 515-4195
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/530246
INTERNATIONAL APPLICATION NO. PCT/EP98/06804	INTERNATIONAL FILING DATE 27 October 1998	PRIORITY DATE CLAIMED 28 October 1997	
TITLE OF INVENTION PROCESS AND PLANT TO EXTRACT AND CONCENTRATE TANNINS FROM WOOD AND FROM OTHER NATURAL PRODUCTS			
APPLICANT(S) FOR DO/EO/US Carlo MUSTACCHI; Giacomo MATTURRO; Paolo DANESI; and Andrea FESTUCCIA			

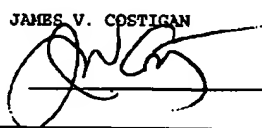
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ has been transmitted by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information:
 Search report and cited documents;
 Report of International
 Preliminary Examination Authority;
 Copy of PCT Form IB/306;
 Copy of PCT Request;
 Small Entity Status Declaration;
 and
 Form PTO-1449

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
 "EXPRESS MAIL" MAILING LABEL NUMBER EL548491700US
 DATE OF DEPOSIT April 26, 2000
 I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
 DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
 BY "EXPRESS MAIL POST OFFICE TO ADDRESSEE"
 SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED
 ABOVE AND IS ADDRESSED TO BOX PCT, ASST.
 COMMISSIONER OF PATENTS, WASHINGTON, D.C. 20231

PRINTED NAME: JAMES V. COSTIGAN
 SIGNATURE: 

Fee of 100000

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/530246

INTERNATIONAL APPLICATION NO.
PCT/EP98/06804

ATTORNEYS DOCKET NUMBER

515-4195

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO..... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	23 - 20 =	3	X \$18.00
Independent claims	3 - 3 =	0	X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			0 + \$260.00

\$ 64.00

\$ 0

\$ 0

TOTAL OF ABOVE CALCULATIONS =

\$ 904.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 452.00

SUBTOTAL =

\$ 452.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 452.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 492.00

Amount to be:

refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 492.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 08-1540. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

JAMES V. COSTIGAN, ESQ.
HEDMAN, GIBSON & COSTIGAN, P.C.
1185 AVENUE OF THE AMERICAS, SUITE 2003
NEW YORK, NY 10036-2646
212-302-8989

SIGNATURE:

JAMES V. COSTIGAN

NAME

25,669

REGISTRATION NUMBER